

ASIAN SECURITIES LIMITED
NET CAPITAL BALANCE (UN-AUDITED)
AS ON JULY 31, 2016

CURRENT ASSETS	Rupees (un - audited)	Rupees (un - audited)
1- <u>CASH IN HAND 'OR' IN BANK</u>		
Cash in hand	75,051	75,051
Cash at Bank		
Balance pertaining to Brokerage house	79,425,252	
Balance pertaining to Clients	20,572,305	99,997,558
2- <u>TRADE RECEIVABLES</u>		
Book value	13,463	
Less: Overdue for more than 14 days	(13,463)	
3- <u>INVESTMENT IN LISTED SECURITIES IN THE NAME OF BROKER</u>		
Securities on the exposure list marked to market	1,187,247,905	
Less: 15% discount	178,087,186	1,009,160,719
4- <u>SECURITIES PURCHASED FOR CLIENTS</u>		
5- <u>LISTED TFCs / CORPORATE BONDS (NOT LESS THAN BBB GRADE)</u>		
Marked to market	-	
Less: 10% discount	-	-
6- <u>FIB's</u>		
Marked to market	-	
Less: 5% discount	-	-
7- <u>TREASURY BILL</u>		
At market value		-
		1,109,233,328
LESS: CURRENT LIABILITIES		
1- <u>TRADE PAYABLES</u>		
Book value	20,572,305	
Less: Overdue for more than 30 days	(3,619,417)	16,952,888
Overdue trade payables		3,619,417
2- <u>OTHER LIABILITIES</u>		27,085,811
Total Current Liabilities		47,658,116
Less: Amount segregated for minimum Net Capital Balance of National Commodity Exchange Limited		2,500,000
Net Capital Balance		1,059,075,212



ASIAN SECURITIES LIMITED
NET CAPITAL BALANCE (UN-AUDITED)
AS ON AUGUST 31, 2016

CURRENT ASSETS	Rupees (un - audited)	Rupees (un - audited)
1- <u>CASH IN HAND 'OR' IN BANK</u>		
Cash in hand	98,237.	98,237
Cash at Bank		
Balance pertaining to Brokerage house	77,437,776	
Balance pertaining to Clients	23,145,189	100,582,965
2- <u>TRADE RECEIVABLES</u>		
Book value	781,422	
Less: Overdue for more than 14 days	(23,773)	757,649
3- <u>INVESTMENT IN LISTED SECURITIES IN THE NAME OF BROKER</u>		
Securities on the exposure list marked to market	1,243,940,473	
Less: 15% discount	186,591,071	1,057,349,402
4- <u>SECURITIES PURCHASED FOR CLIENTS</u>		
5- <u>LISTED TFCs / CORPORATE BONDS (NOT LESS THAN BBB GRADE)</u>		
Marked to market	-	
Less: 10% discount	-	-
6- <u>FIB's</u>		
Marked to market	-	
Less: 5% discount	-	-
7- <u>TREASURY BILL</u>		
At market value		-
		1,158,788,253
LESS: CURRENT LIABILITIES		
1- <u>TRADE PAYABLES</u>		
Book value	23,145,189	
Less: Overdue for more than 30 days	(6,240,955)	16,904,234
Overdue trade payables		6,240,955
2- <u>OTHER LIABILITIES</u>		
		27,707,066
Total Current Liabilities		50,852,255
Less: Amount segregated for minimum Net Capital Balance of National Commodity Exchange Limited		2,500,000
Net Capital Balance		1,105,435,998



ASIAN SECURITIES LIMITED
NET CAPITAL BALANCE (UN-AUDITED)
AS ON September 30, 2016

CURRENT ASSETS	<i>Rupees</i> (un - audited)	<i>Rupees</i> (un - audited)
1- <u>CASH IN HAND 'OR' IN BANK</u>		
Cash in hand	59,596	59,596
Cash at Bank		
Balance pertaining to Brokerage house	39,812,393	
Balance pertaining to Clients	48,878,819	88,691,212
2- <u>TRADE RECEIVABLES</u>		
Book value	76,712	
Less: Overdue for more than 14 days	(23,804)	52,908
3- <u>INVESTMENT IN LISTED SECURITIES IN THE NAME OF BROKER</u>		
Securities on the exposure list marked to market	1,336,258,018	
Less: 15% discount	200,438,703	1,135,819,315
4- <u>SECURITIES PURCHASED FOR CLIENTS</u>		
5- <u>LISTED TFCs / CORPORATE BONDS (NOT LESS THAN BBB GRADE)</u>		
Marked to market	-	
Less: 10% discount	-	-
6- <u>FIB's</u>		
Marked to market	-	
Less: 5% discount	-	-
7- <u>TREASURY BILL</u>		
At market value		-
LESS: CURRENT LIABILITIES		1,224,623,031
1- <u>TRADE PAYABLES</u>		
Book value	48,878,819	
Less: Overdue for more than 30 days	(3,437,171)	45,441,648
Overdue trade payables		3,437,171
2- <u>OTHER LIABILITIES</u>		27,717,076
Total Current Liabilities		76,595,895
Less: Amount segregated for minimum Net Capital Balance of National Commodity Exchange Limited		2,500,000
Net Capital Balance		1,145,527,136

Anwar Lakhani
ANWAR LAKHANI
Chief Executive Officer



Net Capital Balance

for the month of 31-OCT-16
of M/s. Asian Securities Limited

Submission Date 11-NOV-2016 12:19:12

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S.No.	Head of Account	Valuation Basis	Value in Pak Rupee
A	Description of Current Assets		
1	Cash in hand or in bank	As per book value	45,905,208
2	Trade Receivables	Book Value less those overdue for more than fourteen days	113,581
3	Investment in listed securities in the name of broker	Securities on the exposure list marked to market less 15% discount	1,127,181,356
4	Securities purchased for customers	Securities purchased for the customer and held by the broker where the payment has not been received within fourteen days	
5	Listed TFCs/ Corporate Bonds of not less than BBB grade assigned by a credit rating company in Pakistan	Marked to Market less 10% discount	
6	FIBs	Marked to Market less 5% discount	
7	Treasury Bill	At market value	
8	Any other current asset specified by the Commission	As per the valuation basis determined by the Commission	
9	Total Assets		1,173,200,145
B	Description of Current liabilities		
1	Trade payables	Book Value less those overdue for more than 30 days	22,697,811
2	Other liabilities	As classified under the generally accepted accounting principles	26,694,557
C	Total Liabilities		49,392,368
D	Net Capital Balance		1,123,807,777

Net Capital Balance
for the month of **30-NOV-16**
of **Ms. Asian Securities Limited**

Submission Date 07-DEC-2016 16:58:57

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S.No.	Head of Account	Valuation Basis	Value in Pak Rupee
A	Description of Current Assets		
1	Cash in hand or in bank	As per book value	54,420,862
2	Trade Receivables	Book Value less those overdue for more than fourteen days	4,101
3	Investment in listed securities in the name of broker	Securities on the exposure list marked to market less 15% discount	1,183,304,071
4	Securities purchased for customers	Securities purchased for the customer and held by the broker where the payment has not been received within fourteen days	
5	Listed TFCs/ Corporate Bonds of not less than BBB grade assigned by a credit rating company in Pakistan	Marked to Market less 10% discount	
6	FIBs	Marked to Market less 5% discount	
7	Treasury Bill	At market value	
8	Any other current asset specified by the Commission	As per the valuation basis determined by the Commission	
9	Total Assets		1,237,729,034
B	Description of Current liabilities		
1	Trade payables	Book Value less those overdue for more than 30 days	21,179,664
2	Other liabilities	As classified under the generally accepted accounting principles	24,909,360
C	Total Liabilities		46,089,024
D	Net Capital Balance		1,191,640,010

Net Capital Balance
for the month of **31-DEC-16**
of **Ms. Asian Securities Limited**

Submission Date 19-JAN-2017 16:39:05

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S.No.	Head of Account	Valuation Basis	Value in Pak Rupee
A	Description of Current Assets		
1	Cash in hand or in bank	As per book value	33,174,402
2	Trade Receivables	Book Value less those overdue for more than fourteen days	26,591
3	Investment in listed securities in the name of broker	Securities on the exposure list marked to market less 15% discount	1,157,245,806
4	Securities purchased for customers	Securities purchased for the customer and held by the broker where the payment has not been received within fourteen days	
5	Listed TFCs/ Corporate Bonds of not less than BBB grade assigned by a credit rating company in Pakistan	Marked to Market less 10% discount	
6	FIBs	Marked to Market less 5% discount	
7	Treasury Bill	At market value	
8	Any other current asset specified by the Commission	As per the valuation basis determined by the Commission	
9	Total Assets		1,190,446,799
B	Description of Current liabilities		
1	Trade payables	Book Value less those overdue for more than 30 days	10,965,252
2	Other liabilities	As classified under the generally accepted accounting principles	24,760,887
C	Total Liabilities		35,726,139
D	Net Capital Balance		1,154,720,660

Net Capital Balance
for the month of **31-JAN-17**
of **Ms. Asian Securities Limited**

Submission Date 13-FEB-2017 13:20:15

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S.No.	Head of Account	Valuation Basis	Value in Pak Rupee
A	Description of Current Assets		
1	Cash in hand or in bank	As per book value	63,826,656
2	Trade Receivables	Book Value less those overdue for more than fourteen days	26,368
3	Investment in listed securities in the name of broker	Securities on the exposure list marked to market less 15% discount	1,126,497,627
4	Securities purchased for customers	Securities purchased for the customer and held by the broker where the payment has not been received within fourteen days	
5	Listed TFCs/ Corporate Bonds of not less than BBB grade assigned by a credit rating company in Pakistan	Marked to Market less 10% discount	
6	FIBs	Marked to Market less 5% discount	
7	Treasury Bill	At market value	
8	Any other current asset specified by the Commission	As per the valuation basis determined by the Commission	
9	Total Assets		1,190,350,651
B	Description of Current liabilities		
1	Trade payables	Book Value less those overdue for more than 30 days	25,066,732
2	Other liabilities	As classified under the generally accepted accounting principles	25,117,884
C	Total Liabilities		50,184,616
D	Net Capital Balance		1,140,166,035

Net Capital Balance
for the month of **28-FEB-17**
of **Ms. Asian Securities Limited**

Submission Date 08-MAR-2017 16:42:13

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S.No.	Head of Account	Valuation Basis	Value in Pak Rupee
A	Description of Current Assets		
1	Cash in hand or in bank	As per book value	99,517,727
2	Trade Receivables	Book Value less those overdue for more than fourteen days	27,026
3	Investment in listed securities in the name of broker	Securities on the exposure list marked to market less 15% discount	1,257,916,059
4	Securities purchased for customers	Securities purchased for the customer and held by the broker where the payment has not been received within fourteen days	
5	Listed TFCs/ Corporate Bonds of not less than BBB grade assigned by a credit rating company in Pakistan	Marked to Market less 10% discount	
6	FIBs	Marked to Market less 5% discount	
7	Treasury Bill	At market value	
8	Any other current asset specified by the Commission	As per the valuation basis determined by the Commission	
9	Total Assets		1,357,460,812
B	Description of Current liabilities		
1	Trade payables	Book Value less those overdue for more than 30 days	43,167,407
2	Other liabilities	As classified under the generally accepted accounting principles	25,731,432
C	Total Liabilities		68,898,839
D	Net Capital Balance		1,288,561,973

Net Capital Balance

for the month of **31-MAR-17**
of **Ms. Asian Securities Limited**

Submission Date 11-APR-2017 16:51:23

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S.No.	Head of Account	Valuation Basis	Value in Pak Rupee
A	Description of Current Assets		
1	Cash in hand or in bank	As per book value	133,763,457
2	Trade Receivables	Book Value less those overdue for more than fourteen days	182
3	Investment in listed securities in the name of broker	Securities on the exposure list marked to market less 15% discount	1,219,739,494
4	Securities purchased for customers	Securities purchased for the customer and held by the broker where the payment has not been received within fourteen days	
5	Listed TFCs/ Corporate Bonds of not less than BBB grade assigned by a credit rating company in Pakistan	Marked to Market less 10% discount	
6	FIBs	Marked to Market less 5% discount	
7	Treasury Bill	At market value	
8	Any other current asset specified by the Commission	As per the valuation basis determined by the Commission	
9	Total Assets		1,353,503,133
B	Description of Current liabilities		
1	Trade payables	Book Value less those overdue for more than 30 days	83,790,344
2	Other liabilities	As classified under the generally accepted accounting principles	39,719,498
C	Total Liabilities		123,509,842
D	Net Capital Balance		1,229,993,291

Net Capital Balance

for the month of **30-APR-17**
of **Ms. Asian Securities Limited**

Submission Date 08-MAY-2017 16:02:02

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S.No.	Head of Account	Valuation Basis	Value in Pak Rupee
A	Description of Current Assets		
1	Cash in hand or in bank	As per book value	108,223,833
2	Trade Receivables	Book Value less those overdue for more than fourteen days	
3	Investment in listed securities in the name of broker	Securities on the exposure list marked to market less 15% discount	1,246,773,737
4	Securities purchased for customers	Securities purchased for the customer and held by the broker where the payment has not been received within fourteen days	
5	Listed TFCs/ Corporate Bonds of not less than BBB grade assigned by a credit rating company in Pakistan	Marked to Market less 10% discount	
6	FIBs	Marked to Market less 5% discount	
7	Treasury Bill	At market value	
8	Any other current asset specified by the Commission	As per the valuation basis determined by the Commission	
9	Total Assets		1,354,997,570
B	Description of Current liabilities		
1	Trade payables	Book Value less those overdue for more than 30 days	34,852,023
2	Other liabilities	As classified under the generally accepted accounting principles	46,823,254
C	Total Liabilities		81,675,277
D	Net Capital Balance		1,273,322,293

Net Capital Balance

for the month of **31-MAY-17**
of **Ms. Asian Securities Limited**

Submission Date 09-JUN-2017 12:17:49

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S.No.	Head of Account	Valuation Basis	Value in Pak Rupee
A	Description of Current Assets		
1	Cash in hand or in bank	As per book value	69,700,771
2	Trade Receivables	Book Value less those overdue for more than fourteen days	100
3	Investment in listed securities in the name of broker	Securities on the exposure list marked to market less 15% discount	1,427,666,774
4	Securities purchased for customers	Securities purchased for the customer and held by the broker where the payment has not been received within fourteen days	
5	Listed TFCs/ Corporate Bonds of not less than BBB grade assigned by a credit rating company in Pakistan	Marked to Market less 10% discount	
6	FIBs	Marked to Market less 5% discount	
7	Treasury Bill	At market value	
8	Any other current asset specified by the Commission	As per the valuation basis determined by the Commission	
9	Total Assets		1,497,367,645
B	Description of Current liabilities		
1	Trade payables	Book Value less those overdue for more than 30 days	18,790,152
2	Other liabilities	As classified under the generally accepted accounting principles	26,516,365
C	Total Liabilities		45,306,517
D	Net Capital Balance		1,452,061,128

Net Capital Balance
for the month of **30-JUN-17**
of **Ms. Asian Securities Limited**

Submission Date 30-OCT-2017 09:36:24

S.No.	Head of Account	Valuation Basis	Value in Pak Rupee
A	Description of Current Assets		
1	Cash in hand or in bank	As per book value	78,021,499
2	Trade Receivables	Book Value less those overdue for more than fourteen days	
3	Investment in listed securities in the name of broker	Securities on the exposure list marked to market less 15% discount	1,420,313,827
4	Securities purchased for customers	Securities purchased for the customer and held by the broker where the payment has not been received within fourteen days	12,290
5	Listed TFCs/ Corporate Bonds of not less than BBB grade assigned by a credit rating company in Pakistan	Marked to Market less 10% discount	
6	FIBs	Marked to Market less 5% discount	
7	Treasury Bill	At market value	
8	Any other current asset specified by the Commission	As per the valuation basis determined by the Commission	
9	Total Assets		1,498,347,616
B	Description of Current liabilities		
1	Trade payables	Book Value less those overdue for more than 30 days	917,791
2	Other liabilities	As classified under the generally accepted accounting principles	3,937,982
C	Total Liabilities		4,855,773
D	Net Capital Balance		1,493,491,843